

# Post-quantum crypto on $\mu C$

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## Asymmetric crypto today

- Signatures today: RSA, DSA, ECDSA, EdDSA
- Key exchange and PKE today: RSA, DH, ECDH,
- All based on factoring or (EC)DL



# Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer\*

Peter W. Shor<sup>†</sup>

#### Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

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## Post-quantum crypto

(Asymmetric) cryptography that resists attacks by a large quantum computer, in particular, crypto not based on the hardness of factoring or (EC)DL.

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## Today's talk

- Lattice-based (RLWE-based) key exchange
- Hash-based signatures

#### Cortex-M0



- STM32F0 development board
- Thumb + subset Thumb 2 (ARMv6-M)
- 8KB RAM
- 64KB Flash
- 8+8 registers

#### Cortex-M3



- STM32L100C development board
- Thumb2 instruction set (ARMv7-M)
- 16KB RAM
- 256KB Flash
- 16 registers (2 reserved)

### Cortex-M4



- STM32F4 development board
- Thumb 2 instruction set (ARMv7-ME)
- 192KB RAM
- 1MB Flash
- 16 registers (2 reserved)

# **POST-QUANTUM KEY EXCHANGE**



LÉO DUCAS THOMAS PÖPPELMANN PETER SCHWABE

**ERDEM ALKIM** 



"We're indebted to Erdem Alkim, Léo Ducas, Thomas Pöppelmann and Peter Schwabe, the researchers who developed "New Hope", the post-quantum algorithm that we selected for this experiment."



ISARA Radiate is the first commercially available security solution offering quantum resistant algorithms that replace or augment classical algorithms, which will be weakened or broken by quantum computing threats.

"Key Agreement using the 'NewHope' lattice-based algorithm detailed in the New Hope paper, and LUKE (Lattice-based Unique Key Exchange), an ISARA speed-optimized version of the NewHope algorithm."

https://www.isara.com/isara-radiate/



"The deployed algorithm is a variant of "New Hope", a quantum-resistant cryptosystem"

https://www.infineon.com/cms/en/about-infineon/press/press-releases/2017/INFCCS201705-056.html

# Ring-Learning-with-errors (RLWE)

- Let  $\mathcal{R}_q = \mathbb{Z}_q[X]/(X^n + 1)$
- Let  $\chi$  be an error distribution on  $\mathcal{R}_a$
- Let  $\mathbf{s} \in \mathcal{R}_q$  be secret
- Attacker is given pairs (a, as + e) with
  - a uniformly random from  $\mathcal{R}_a$
  - e sampled from  $\chi$
- Task for the attacker: find s



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- Task for the attacker: find s
- Common choice for  $\chi$ : discrete Gaussian
- Common optimization for protocols: fix a



# A bit of (R)LWE history

- Hoffstein, Pipher, Silverman, 1996: NTRU cryptosystem
- Regev, 2005: Introduce LWE-based encryption
- Lyubashevsky, Peikert, Regev, 2010: Ring-LWE and Ring-LWE encryption
- Ding, Xie, Lin, 2012: Transform to (R)LWE-based key exchange
- Peikert, 2014: Improved RLWE-based key exchange
- Bos, Costello, Naehrig, Stebila, 2015: Instantiate and implement Peikert's key exchange in TLS:
- Alkim, Ducas, Pöppelmann, Schwabe, Aug. 2016: NewHope
- Alkim, Ducas, Pöppelmann, Schwabe, Dec. 2016: NewHope-Simple

# RLWE-based Encryption, KEM, KEX

Alice (server)		Bob (client)
$\mathbf{s}, \mathbf{e} \xleftarrow{s} \chi$		$\mathbf{s}',\mathbf{e}' \xleftarrow{\$} \chi$
b←as + e	$\xrightarrow{  b   }$	$\mathbf{u}{\leftarrow}\mathbf{a}\mathbf{s}'+\mathbf{e}'$
	$\longleftarrow^{u}$	

Alice has 
$$\mathbf{t} = \mathbf{us} = \mathbf{ass'} + \mathbf{e's}$$
  
Bob has  $\mathbf{t'} = \mathbf{bs'} = \mathbf{ass'} + \mathbf{es'}$ 

- $\bullet$  Secret and noise polynomials s,s',e,e' are small
- t and t' are approximately the same



- Improve IEEE S&P 2015 results by Bos, Costello, Naehrig, Stebila (BCNS)
- Use reconcilation to go from approximate agreement to agreement
  - Originally proposed by Ding (2012)
  - Improvements by Peikert (2014)
  - More improvements in NewHope

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- NewHope-Simple (2016): Scrap complex reconciliation (pay 6.25% increase in ciphertext size)
- Very conservative parameters (n = 1024, q = 12289)
- Centered binomial noise  $\psi_k$  (HW(a)-HW(b) for k-bit a, b)
- Achieve ≈ 256 bits of post-quantum security according to very conservative analysis
- ullet Higher security, shorter messages, and > 10 imes speedup

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- Encode polynomials in NTT domain
- C reference and AVX2 optimized implementation

- Joint work with Erdem Alkim and Philipp Jakubeit
- Hand-optimized NTT implementation
- New speed records for NTT on Cortex-M
- Most other routines also in assembly
- Fits into 8 KB of RAM on the M0!



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#### Performance on the M0

- All measurements at 48 MHz
- Keygen cycles: 1170892 cycles
- Encaps cycles: 1760837 cycles
- Decaps cycles: 298 877 cycles



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#### Performance on the M4

• All measurements at 48 MHz

• Keygen cycles: 781518 cycles

• Encaps cycles: 1140594 cycles

• Decaps cycles: 174 798 cycles



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#### Performance on the M4

• All measurements at 48 MHz

• Keygen cycles: 781 518 cycles

• Encaps cycles: 1140594 cycles

• Decaps cycles: 174798 cycles

• Curve25519: 907 240 cycles



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#### Performance on the M4

• All measurements at 48 MHz

• Keygen cycles: 781518 cycles

• Encaps cycles: 1140594 cycles

• Decaps cycles: 174798 cycles

• Curve25519: 907 240 cycles

## Public key and ciphertext each $\approx$ 2 KB



 Submission by Erdem Alkim, Roberto Avanzi, Joppe Bos, Léo Ducas, Antonio de la Piedra, Thomas Pöppelmann, Peter Schwabe, and Douglas Stebila

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- Start with NewHope-Simple
- ullet Slightly modify noise o negligible failure prob.
- Provide CPA-secure and CCA-secure KEM
- Additional tweaks to improve speed
- Also provide low-security ("level 1") variant

# SPHINCS: practical stateless hash-based incredibly nice cryptographic signatures



Daniel J. Bernstein
Daira Hopwood
Andreas Hülsing
Tanja Lange
Ruben Niederhagen
Louiza Papachristodoulou
Michael Schneider
Peter Schwabe
Zooko Wilcox-O'Hearn

# Signatures for 1-bit messages

## Key generation

- Generate 256-bit random values  $(r_0, r_1) = s$  (secret key)
- Compute  $(h(r_0), h(r_1)) = (p_0, p_1) = p$  (public key)



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## Signing

- Signature for message b = 0:  $\sigma = r_0$
- Signature for message b = 1:  $\sigma = r_1$

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- Signature for message b = 0:  $\sigma = r_0$
- Signature for message b=1:  $\sigma=r_1$

#### Verification

Check that  $h(\sigma) = p_b$ 

# One-time signatures for 256-bit messages

## Key generation

- Generate 256-bit random values  $s = (r_{0,0}, r_{0,1}, \dots, r_{255,0}, r_{255,1})$
- Compute  $p = (h(r_{0,0}), h(r_{0,1}), \dots, h(r_{255,0}), h(r_{255,1})) = (p_{0,0}, p_{0,1}, \dots, p_{255,0}, p_{255,1})$

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## Signing

• Signature for message  $(b_0, \ldots, b_{255})$ :  $\sigma = (\sigma_0, \ldots, \sigma_{255}) = (r_{0,b_0}, \ldots, r_{255,b_{255}})$ 

# One-time signatures for 256-bit messages

#### Key generation

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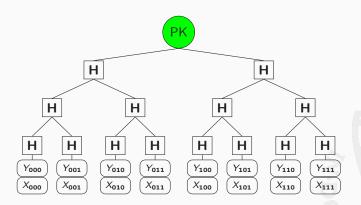
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#### Verification

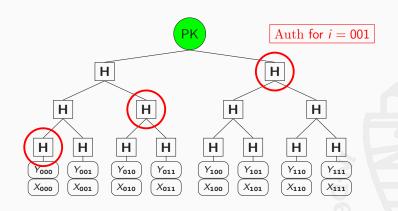
- Check that  $h(\sigma_0) = p_{0,b_0}$
- . . .
- Check that  $h(\sigma_{255}) = p_{255,b_{255}}$

#### Merkle Trees



- Merkle, 1979: Leverage one-time signatures to multiple messages
- Binary hash tree on top of OTS public keys
- Use OTS keys sequentially

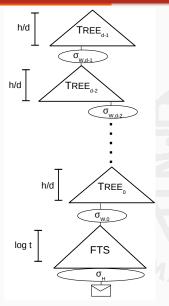
#### Merkle Trees



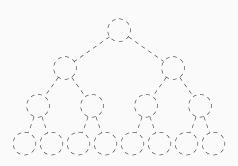
- SIG =  $(i, sign(M, X_i), Y_i, Auth)$
- Need to remember current *index* (⇒ stateful scheme)
- State is a "huge foot cannon" (Langley, 2013)

## **Eurocrypt 2015: SPHINCS**

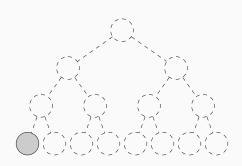
- Combine Merkle tree with "signing tree" by Goldreich
- Use a "hyper-tree" of total height h
- Pick index (pseudo-)randomly
- Messages signed with few-time signature scheme
- SPHINCS-256 for 128-bit post-quantum security (up to 2<sup>50</sup> signatures under one key)
- Signature size of 41 KB



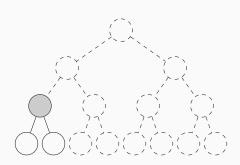
- Main challenge: Fit 40 KB signature into 16 KB of RAM
- Use Treehash (Merkle, 1990) inside FTS computation
- Maintain a stack: at most log(n) = 16 nodes (or log(8) = 3, in the example below)



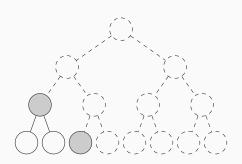
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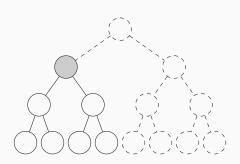
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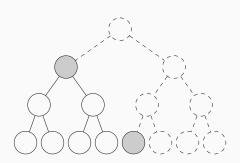
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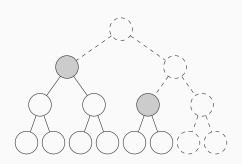
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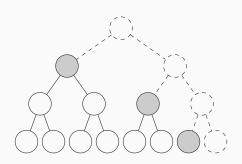
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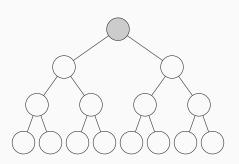
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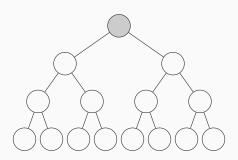
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- Trace 32 'random' paths through tree
- Stream in message "piece by piece"
- Stream out signature "piece by piece"
- Rearrange puzzle pieces on the hostside

#### Results

- Works on 16KB RAM ✓
  - Uses less than 7 KB
- Benchmarks at 32 MHz
- Key generation: 0.88 seconds
- Signing: 18.41 seconds
- Verification: 0.51 seconds



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- Benchmarks at 32 MHz
- Key generation: 0.88 seconds
- Signing: 18.41 seconds
- Verification: 0.51 seconds
- $\bullet$  Cost for eliminating the state: 30× signing slowdown
- Typically better to use stateful XMSS
- (Verification) code for SPHINCS and XMSS has very large overlap

 Submission by Daniel J. Bernstein, Christoph Dobraunig, Maria Eichlseder, Scott Fluhrer, Stefan-Lukas Gazdag, Andreas Hülsing, Panos Kampanakis, Stefan Kölbl, Tanja Lange, Martin M. Lauridsen, Florian Mendel, Ruben Niederhagen, Christian Rechberger, Joost Rijneveld, and Peter Schwabe

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- Various improvements to SPHINCS → SPHINCS<sup>+</sup>
- Incorporate multi-target protection (Hülsing, Rijneveld, Song, PKC 2016)
  - Better security properties
  - Shorter keys (64 bytes)
  - Slower signing

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- Framework supporting different hash functions
- Add lower-security variants (parameters for levels 1,3, and 5)

### NIST PQC "competition" 2017: SPHINCS+

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- Support 2<sup>64</sup> signatures under one key
- Framework supporting different hash functions
- Add lower-security variants (parameters for levels 1,3, and 5)
- Signature sizes between 8 KB and 49 KB

### More online

### NewHope

https://newhopecrypto.org(soon)

SPHINCS+

https://sphincs.org(soon)

